

EDUCATION, LABOR & FAMILY ASSISTANCE

**Summary of Recommended Appropriations
By Agency**

COUNCIL ON THE ARTS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	4,219,000	4,719,000	500,000	11.9%
AID TO LOCALITIES	37,464,000	37,964,000	500,000	1.3%
Total for AGENCY SUMMARY:	41,683,000	42,683,000	1,000,000	2.4%

Agency Mission

The New York State Council on the Arts (NYSCA) is responsible for the oversight and administration of the cultural and artistic resources of the Council on the Arts, the Empire State Plaza Performing Arts Center Corporation and the New York State Theatre Institute. NYSCA is also responsible for administering grants to local non-for-profit arts organizations.

Budget Summary

The Executive proposes an All Funds appropriation of \$42.68 million, an increase of \$1 million or 2.4 percent over the State Fiscal Year (SFY) 2012-13 level. The Executive maintains funding in support of 28 full-time equivalent positions.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$4.72 million, an increase of \$500,000 or 11.9 percent over the SFY 2012-13 level. This increase is comprised of a new \$500,000 appropriation to solicit arts grants or donations.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	28	28	0
TOTAL:	28	28	0

Aid to Localities Appropriations

(A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$37.96 million, an increase of \$500,000 or 1.3 percent over the SFY 2012-13 level. This increase is also comprised of a new \$500,000 appropriation to solicit arts grants or donations.

The Executive proposal would sub-allocate \$100,000 in appropriations to support the Nelson A. Rockefeller Empire State Plaza Performing Arts Center.

CITY UNIVERSITY OF NEW YORK

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	2,231,909,900	2,327,486,900	95,577,000	4.3%
AID TO LOCALITIES	1,306,383,490	1,357,154,990	50,771,500	3.9%
CAPITAL PROJECTS	347,909,000	45,083,000	(302,826,000)	-87.0%
Total for AGENCY SUMMARY:	3,886,202,390	3,729,724,890	(156,477,500)	-4.0%

Agency Mission

The City University of New York (CUNY) is the third largest public university system in the nation, comprised of 19 campuses, seven community colleges, one technical college, a graduate school and an affiliated medical school. CUNY's mission is to provide affordable higher education with a focus on the urban community of New York City.

Budget Summary

The Executive proposes an All Funds appropriations of \$3.73 billion which is a decrease of \$156.48 million or 4 percent from the State Fiscal Year (SFY) 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes State Operations appropriations of \$2.33 billion, an increase of \$95.58 million or 4.3 percent from the SFY 2012-13 level.

CUNY Senior Colleges

The Executive proposes \$524.54 million in funding for CUNY Senior Colleges, maintaining General Fund support levels of SFY 2012-13. The Executive proposes \$964.79 million in tuition funding, an increase of \$60 million from SFY 2012-13, reflecting a scheduled \$300 increase in tuition for Academic Year (AY) 2013-14. The Executive proposes \$18.38 million for the Search for Education, Evaluation, and Knowledge (SEEK) Program and \$1 million for CUNY LEADS, reflecting no change from SFY 2012-13.

Aid to Localities Appropriations

(A. 3003)

The Executive proposes an Aid to Localities appropriation of \$1.36 billion, an increase of \$50.77 million or 3.9 percent from the SFY 2012-13 level.

Community College Base Aid

The Executive proposes to maintain Community College Base Aid at the SFY 2012-13 level of \$2,272 per full-time equivalent student. The Executive recommendation would provide \$191.28 million for base aid, which includes a \$2 million increase to support a new performance-based funding grant program.

Next Generation College Linkage Program

The Executive proposal would link base aid funding with the new requirements for community college workforce and vocational programs, which would provide competitive grants to community colleges based on graduation and workforce outcomes.

Other Community College Programs

The Executive Budget proposal reduces funding for CUNY Child Care by \$544,000, to provide \$813,100 in SFY 2013-14. The Executive would increase funding for Rental Aid by \$701,000 to provide \$8.95 million in SFY 2013-14. Funding for College Discovery would be maintained at SFY 2012-13 levels of \$883,390 and Workforce Development would be maintained at \$1.88 million.

Capital Projects Appropriations

(A. 3004)

The Executive proposes a Capital Projects appropriation of \$45.08 million, a decrease of \$302.83 million or 87 percent from the 2012-13 level, marking the end of the previous 5-year capital plan.

Capital Projects

The Executive proposes funding of \$21 million to reimburse the Dormitory Authority's administrative cost of managing projects at CUNY, \$15.98 million to allow hard dollar capital financing to reimburse the City University of New York Construction Fund, and \$8.10 million to provide for the state's 50 percent share of projects at CUNY Community Colleges. An additional \$55 million is proposed under appropriations made for the Empire State Development Corporation (ESDC) for a NYCUNY 2020 Challenge Grant Program.

Five Year Capital Plan

The Executive's financial plan includes a five year capital plan for CUNY of \$687.7 million, which includes the following:

- \$275 million for the NYCUNY 2020 Challenge Grant Program;
- \$223.1 million for Community College Critical Maintenance Projects; and
- \$189.6 million for CUNY Construction Fund and Dormitory Authority reimbursements.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- create the Next Generation New York Job Linkage Program. Community College programs would be required to partner with employers or prove a regional workforce need in order to remain eligible for state base aid funding; and
- require certain community college programs to partner with employers or prove a regional workforce need in order to remain eligible for state funding.

EDUCATION DEPARTMENT

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	583,012,000	582,018,000	(994,000)	-0.2%
AID TO LOCALITIES	50,341,613,000	51,030,223,000	688,610,000	1.4%
CAPITAL PROJECTS	17,400,000	17,400,000	0	0.0%
Total for AGENCY SUMMARY:	50,942,025,000	51,629,641,000	687,616,000	1.3%

Agency Mission

The State Education Department (SED) is the administrative agency of the Board of Regents. SED administers school aid, regulates school operations, maintains a performance based accountability system, certifies teachers, and administers a host of other educational programs. These programs include special education services, cultural education programs, higher and professional education programs, vocational rehabilitation, and adult career and continuing education services. SED's primary mission is to raise the knowledge, skills, and opportunities of all the people in New York.

Budget Summary

The Executive proposes an All Funds appropriation of \$51.63 billion, a net increase of \$687.62 million or 1.3 percent, over the State Fiscal Year (SFY) 2012-13 level. The Executive recommends support for 2,765 full-time equivalent (FTE) positions, a recommended increase in the staffing level by 101 FTE positions over the SFY 2012-13.

The Executive proposes a year-to-year increase in overall education funding of \$889 million or 4.4 percent, increasing aid from \$20.2 billion to \$21.1 billion for School Year (SY) 2013-14. Included in this amount is a year-to-year increase in General Support for Public Schools (GSPS) of \$611 million or 3 percent, providing \$20.8 billion in SY 2013-14.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$582 million, a decrease of \$994,000 or 0.17 percent, from the SFY 2012-13 level.

The Executive Budget would provide support for 2,765 FTEs, an increase of 101 FTEs. This staffing increase relates primarily to additional direct care positions for vocational rehabilitation services staff and for employees overseeing the licensing of professions that are regulated by SED.

Program and Fiscal Audits

The Executive Budget provides up to \$2 million in federal funds to support program and fiscal audits of preschool special education providers to be conducted by an external audit firm selected through an RFP. The Executive Budget also provides \$2 million for development of data collection and analysis to improve oversight.

School Performance Management System

The Executive Budget requires SED to develop a School Performance Management system that will streamline school district reporting and increase fiscal and program transparency and accountability.

Teaching Certificate Requirements

The Executive Budget allocates up to \$13.9 million in federal funds to SED to implement a plan which requires longer, more intensive and high quality student teaching experiences. In addition, it would provide for the creation of standards for a teacher and principal “bar exam” certification program.

Other Actions

The Executive Budget provides an additional \$1.5 million for the administration of the January Regents exams, and continues \$3.66 million in funding for the administration of High School Equivalency Exams.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	279	279	0
All Other Funds:	2,385	2,486	101
TOTAL:	2,664	2,765	101

Aid to Localities Appropriations
(A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$51 billion, a net increase of \$688.61 million or 1.37 percent, over the SFY 2012-13 level. This two-year appropriation includes funds for SFY 2014-15, which contains a 3.3 percent increase in School Aid based on projected growth in New York State personal income.

General Support for Public Schools: Formula Based Aids

Under the Executive proposal, School Aid will be increased by \$611 million, reflecting a three percent growth in New York State personal income. This increase would be allocated to the Gap Elimination Adjustment (GEA) restoration of \$272 million, expense-based aids of \$289 million, and a new round of Performance Improvement and Management Efficiency Grants of \$50 million. Formula based aids will generate an additional \$550 million in SY 2013-14.

Eligibility to receive increases in School Aid for the 2013-14 School Year would again be linked to district compliance with Annual Professional Performance Review (APPR) plans, which must be approved by September 1, 2013.

Six school districts, including the New York City School District, were unable to reach agreement and finalize an approved APPR plan for the SY 2012-13. As a result, these school districts are ineligible to receive State Aid increases for SY 2012-13, and remaining payments for SY 2012-13 will be adjusted accordingly. As a result of noncompliance with the APPR requirements, New York City schools are slated to lose \$242 million as a mid-year reduction.

Funding levels for formula based aids are provided in the chart below:

AID CATEGORY	2012-13	2013-14	Change	
	<u>School Year</u>	<u>School Year</u>	<u>Amount</u>	<u>Percent</u>
Formula-Based Aids:	(\$ in millions)	(\$ in millions)	(\$ in millions)	
Foundation Aid	15,005.36	15,005.36	0.00	0.00%
Excess Cost - High Cost	452.25	532.04	79.79	17.64%
Excess Cost - Private	320.09	358.98	38.89	12.15%
Reorganization Operating Aid	2.86	2.57	(0.29)	(10.14)%
Textbooks	179.05	181.34	2.29	1.28%
Computer Hardware	38.02	38.86	0.84	2.21%
Computer Software	45.73	46.69	0.96	2.10%
Library Materials	19.01	19.48	0.47	2.47%
BOCES	704.13	724.86	20.73	2.94%
Special Services	205.47	204.19	(1.28)	(0.62)%
Transportation	1,661.62	1,722.49	60.87	3.66%
High Tax	204.77	154.74	(50.03)	(24.43)%
Universal Prekindergarten	384.15	385.03	0.88	0.23%
Academic Achievement Grant	1.20	1.20	0.00	0.00%
Supplemental Educational Improvement Grant	17.50	17.50	0.00	0.00%
Charter School Transitional Aid	34.61	33.47	(1.14)	(3.29)%
Full-Day Kindergarten	7.27	13.83	6.56	90.23%
Academic Enhancement Aid	8.32	8.32	0.00	0.00%
Supplemental Public Excess Cost	4.31	4.31	0.00	0.00%
Gap Elimination Adjustment	(2,156.28)	(1,834.73)	321.55	N/A
Building Aid/Reorganization Building	2,714.11	2,783.33	69.22	2.55%
Total Formula-Based Aids	19,853.55	20,403.86	550.31	2.77%

Other Education Funding

In addition to a \$611 million increase in GSPS, the Executive proposal provides new support for Fiscal Stabilization Funding (\$203 million) and New NY Education Reform Commission Grants (\$75 million).

Fiscal Stabilization Funding

Fiscal Stabilization Funding is a one-time financial relief to school districts for SY 2013-14, in recognition of extraordinary increases in fixed costs. This new \$203 million will be allocated pursuant to enactment of a chapter of law, and eligibility is subject to compliance with the APPR provisions.

Support for Education Reform Commission Recommendations

The Executive Budget would also provide \$75 million in grants for SY 2013-14, based on the recommendations of the Governor's *New NY Education Reform Commission*, for the following initiatives: Full-Day Prekindergarten (\$25 million), Extended Learning Time (\$20 million), Community Schools (\$15 million), Rewards for High Performing Teachers (\$11 million), and Early College High School Programs (\$4 million). In order to be eligible to receive these funds, school districts must comply with the APPR provisions.

The \$25 million for Full-Day Prekindergarten is intended to expand existing, or establish new, programs specifically targeting high need students in lower wealth districts. To be eligible for Extended Learning Time funds, school districts must increase learning time by at least 25 percent through extended hours, more school days, or a combination of the two. Such districts will receive \$1,500 per pupil or 10 percent of their average per pupil costs, whichever is greater.

School districts seeking to create Community Schools would be eligible for grants up to \$500,000. This \$15 million grant will help schools combine education and after-school programming with social, health, and other services. The Reward High Performing Teachers grant program of \$11 million provides \$15,000 stipends for teachers for four years. Beginning with math and science teachers, these "master teachers" will develop and train other teachers. In addition, the budget would provide \$4 million to fund investments in Early College High School Programs.

GSPS: Grant Programs and Additional Aid Categories

The Executive would increase funding for the following programs accordingly:

- Assistance for Homeless Pupils is provided at \$21.23 million, an increase of \$3 million over SY 2012-13;
- Incarcerated Youth Education support is provided at \$21 million, an increase of \$500,000 over SY 2012-13;
- Native American Education is increased to \$45.3 million, or \$7.69 million over SY 2012-13; and
- support for the cost of chargebacks is provided at \$41 million, \$1.2 million more than SY 2012-13.

The Executive Budget maintains funding for the following programs for the 2013-14 School Year:

- Teachers of Tomorrow: \$25 million;
- Teacher-Mentor Intern Program: \$2 million;
- School Health Services: \$13.84 million;

- Special Academic Improvement Grant for the Roosevelt School District: \$12 million;
- Urban-Suburban Transfer Program: \$2.73 million;
- Employment Preparation Education Aid: \$96 million;
- Bilingual Education: \$12.5 million;
- Special Act School Districts: \$2.7 million;
- BOCES Aid for Special Act Districts: \$700,000;
- Learning Technology Grants: \$3.29 million;
- Native American Building Aid: \$5 million;
- Bus Driver Safety: \$400,000; and
- Education of OMH/OPWDD pupils: \$80 million.

Other Elementary and Secondary Education Programs

The Executive proposal would:

- increase Nonpublic School Aid by \$4.95 million to a total of \$128.57 million;
- eliminate funding of \$10.2 million for Teacher Resource and Computer Training Centers;
- increase funding for Lunch/Breakfast Programs to \$34.4 million, which is an increase of \$700,000 over SFY 2012-13;
- decrease funding for the Consortium for Worker Education setaside to \$11.5 million, which is a decrease of \$1.5 million from the SY 2012-13 funding level;
- eliminate funding for Section 3627 Afterschool Transportation, which totaled \$3 million in SY 2012-13;
- decrease Prior Year Claims/Fiscal Stabilization Grants to \$32.79 from \$45.07 million, reflecting a \$12.28 million reduction in debt service;

The Executive proposal maintains funding for the following programs for the 2013-14 School Year:

- Extended School Day/School Violence Prevention funding: \$24.34 million;
- Adult Literacy Education: \$5.29 million;
- Charter School Start Up Grants: \$4.84 million;
- Summer Food Program: \$3.05 million;
- Small Government Assistance to School Districts funding: \$1.87 million;
- Adult Basic Education: \$1.84 million;
- Math and Science High Schools: \$1.38 million;
- Targeted Prekindergarten funding: \$1.3 million;
- County Vocational Education and Extension Boards: \$932,000;
- Academic Intervention Services for Nonpublic Schools: \$922,000;

- Primary Mental Health Project: \$894,000;
- Center for Autism and Related Disabilities at the University at Albany: \$740,000, with an additional \$500,000 in federal funding provided to support this program;
- Health Education Program: \$691,000;
- Postsecondary Aid to Native Americans: \$598,000;
- Student Mentoring and Tutoring Program funding: \$490,000;
- New York State Center for School Safety: \$466,000;
- Say Yes to Education Program funding: \$350,000;
- Just Kids program at the University at Albany: \$235,000; and
- Children of Migrant Workers: \$89,000.

Other School Programs

The Executive proposal includes:

- funding for Preschool Special Education (4410) programs at \$983.5 million, which is an increase of \$46.8 million over SFY 2012-13. Additionally, the Executive Budget provides \$1 million in targeted grants to counties to develop and enhance their oversight of these programs; and
- a decrease in funding for Private Schools for the Blind and Deaf to \$97.1 million, which is a decrease of \$2.2 million from the SFY 2012-13 level.

Higher Education and the Professions

The Executive maintains SFY 2012-13 funding levels for the following opportunity programs administered by SED in SFY 2013-14:

- \$24.27 million for the Higher Education Opportunity Program (HEOP);
- \$12.54 million for Liberty Partnerships;
- \$10.80 million for the Science and Technology Program (STEP); and
- \$8.18 million for the Collegiate Science and Technology Program (CSTEP).

The Executive maintains SFY 2012-13 funding levels for other programs in SFY 2013-14:

- \$35.13 million for Unrestricted Aid to Independent Colleges and Universities (Bundy Aid);
- \$941,000 for the High Needs Nursing Program;
- \$598,000 for the Postsecondary Aid to Native Americans Program;
- \$450,000 for the Teacher Opportunity Corp Program; and
- \$368,000 for the National Board for Professional Teaching Standards Certification Grant Program.

Adult Career and Continuing Education Services Program

The Executive proposal would maintain funding for Case Services (\$54 million), Independent Living Centers (\$12.36 million), College Readers Aid (\$294,000) and Supported Employment (\$15.16 million) at SFY 2012-13 funding levels.

Cultural Education Program

The Executive proposes the continuation of funding for Aid for Public Libraries (\$81.63 million), reimbursement to public libraries of costs associated with the MTA Mobility tax (\$1.3 million) and funding for Educational Television and Radio (\$14 million) at SFY 2012-13 funding levels.

Capital Projects Appropriations

(A. 3004)

The Executive proposes an All Funds Capital Projects appropriation of \$17.4 million, which is the same as the SFY 2012-13 level. This appropriation would continue funding of \$14 million for Public Library Capital projects throughout the state and maintain funding for various minor rehabilitation projects to keep State Education Department facilities in safe operating condition at \$3.4 million.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- require school districts to continue full implementation of the Annual Professional Performance Review standards and procedures for teachers and building principals in order to be eligible to receive increases in school aid for the 2013-14 school year and thereafter. Such plans must be approved by the Commissioner by September first;
- continue the Contract for Excellence program by requiring all school districts that submitted a contract for the 2012-13 school year to submit a contract for the 2013-14 school year unless all schools within the district are reported as being in “good standing” academically;
- establish a time limit for adjustments to aid payable for instructional materials;
- exempt school districts with fewer than 1,000 students from the internal audit function, in addition to school districts already exempted in current law;
- maintain the tuition freeze for charter schools at the 2010-11 charter school tuition rate;
- maintain Supplemental Public Excess Cost aid at its current level through the 2014-15 school year;

- limit school districts from receiving Full Day Kindergarten incentive aid more than once;
- maintain Academic Enhancement aid at its current level through the 2014-15 school year;
- alter the calculation of High Tax Aid;
- continue and provide for the calculation of the Gap Elimination Adjustment restoration;
- freeze certain school aid formulas for expense based aids for both 2012-13 and 2013-14 school years to the lesser of the Executive run or revised data;
- maintain existing provisions for the Teachers of Tomorrow program in the NYC school district for the 2013-14 school year;
- provide for Community School competitive grants, which would be awarded by the Council on Children and Families to eligible school districts for the delivery of academic, health, nutrition, counseling, legal and other services in a manner that will lead to improved educational and other outcomes;
- provide for Extended Learning competitive grants to school districts that add at least 25 percent more time to the academic calendar by extending the school day, the school year or a combination thereof;
- alter the current payment schedule for summer programs for children attending schools for the blind and deaf to align with the payment method for their school year programs;
- maintain special education class size waivers for school districts;
- authorize a school district, private school or Board of Cooperative Educational Services (BOCES) to apply to SED for a waiver from certain special education requirements provided that federal compliance is maintained and the waiver will enhance student achievement and opportunities through an innovative program. Under this initiative, notice of the proposed waiver must be provided to all parents of students that would be impacted by the waiver and the parents must be provided an opportunity to submit written comments;
- authorize the City of New York to establish local tuition rates for preschool special education programs and services, provided that such rates do not exceed the rates set by the Commissioner. This provision further authorizes the City to select approved preschool special education and related services providers for the delivery of services or programs through a competitive request for proposal process;

- authorize counties to retain 75 percent, instead of 40.5 percent, of any disallowances or overpayments found upon an audit of preschool special education programs as approved by the Commissioner;
- continue school district authorization to lease school buses until September 1, 2015;
- provide for the continuation of the Consortium for Worker Education (CWE) setaside within the Employee Preparation Education (EPE) program at the reduced level of \$11.5 million;
- continue miscellaneous extenders for one year;
- continue the current provisions relating to the conditional appointment of school employees in School districts, BOCES and charter schools through the SY 2013-14;
- maintain the provisions of State law that conform to the federal No Child Left Behind requirements;
- continue provisions for the school bus driver training grant program;
- continues existing provisions for the support of public libraries;
- continues existing provisions for the Chapter 1 Advance;
- continue existing provisions for a lottery accrual due to a change made by the Government Accounting Standards Board regarding the Teacher Retirement System pension contribution;
- continue the authorization for the Rochester City School District to purchase health services from BOCES;
- continue existing setaside provisions for Magnet Schools, Attendance Improvement and Dropout Prevention, and Teacher Support Aid within Foundation Aid;
- establish a STAR Anti-fraud Protection Plan to eliminate fraud and waste in the real property School Tax Relief program. The proposal would authorize the Division of Taxation and Finance to verify eligibility of all STAR recipients; and
- provide that 90 percent of additional state approved casino revenues will go toward elementary-secondary education and will be outside of the income growth cap.

OFFICE OF CHILDREN AND FAMILY SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	519,856,200	540,353,200	20,497,000	3.9%
AID TO LOCALITIES	3,249,823,250	3,196,785,050	(53,038,200)	-1.6%
CAPITAL PROJECTS	37,675,000	37,675,000	0	0.0%
Total for AGENCY SUMMARY:	3,807,354,450	3,774,813,250	(32,541,200)	-0.9%

Agency Mission

The Office of Children and Family Services (OCFS) is responsible for coordinating a system of support to help families achieve and maintain self-sufficiency and to provide for the well-being of their children. OCFS administers a broad range of child welfare, child care, adult protective and delinquency programs, as well as residential and community-based services for New York State's juvenile justice system. OCFS also supervises services to the blind and visually handicapped.

Budget Summary

The Executive proposes All Funds appropriations of \$3.77 billion for OCFS, a reduction of \$32.54 million or 0.9 percent from State Fiscal Year (SFY) 2012-13 levels. The Executive recommends funding support of 2,688 full-time equivalent (FTE) positions, a decrease of 575 positions.

State Operations Appropriations

(A. 3000)

The Executive proposes State Operations appropriations of \$540.35 million, a net increase of \$20.50 million or 3.9 percent from the SFY 2012-13 level.

Juvenile Justice

The Executive proposes to continue and expand the Close to Home initiative, enacted in SFY 2012-13 to bring New York City youth in certain juvenile justice facilities closer to their home community. The second phase of the Close to Home initiative is to move NYC youth in limited secure facilities closer to the City. The Executive Budget proposes a decrease of \$8.41 million for the closure of certain

limited-secure facilities. The closures would result in the elimination of 282 FTE positions through attrition.

The Executive also proposes to expand the Close to Home initiative to youth from areas outside of NYC who reside in non-secure OCFS facilities, and additional facility closures would result. The Executive Budget would reduce appropriations by \$2.82 million related to the expansion of the Close to Home initiatives to youth residing in non-secure facilities in the rest of the state, which will result in the attrition of 168 FTE positions.

The Executive Budget also proposes an additional \$2 million for the planning of the Close to Home initiative for youths residing outside of NYC.

Justice Center for the Protection of People with Special Needs

The Executive Budget transfers \$15 million from OCFS to the Justice Center for the Protection of People with Special Needs, which supports funding for 200 FTE positions.

Statewide Central Register

The Executive Budget proposes an appropriation of \$16.5 million for a Statewide Central Register to support 25 FTE positions to monitor and process clearance for OCFS staff that interacts with youth, a \$1.5 million increase from SFY 2012-13.

Human Services Contact Center

The Executive Budget proposes a \$43.83 million appropriation for the consolidation of human services call centers for the following agencies: OCFS, the Office for Temporary and Disability Assistance, the Office for People with Developmental Disabilities, the Office of Mental Health, the Office of Victim Services, the Office of Alcoholism and Substance Abuse Services and the Department of Civil Service. Funding would be transferred from these agencies to OCFS to support call center operations.

Youth Facility Improvements

The Executive Budget recommends an additional 50 FTE positions related to the improvement of youth facilities. This recommendation requires no new funding because the hiring was anticipated during SFY 2012-13.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
All Other Funds:	3,263	2,688	(575)
TOTAL:	3,263	2,688	(575)

Aid to Localities Appropriations
(A. 3003)

The Executive proposes an Aid to Localities appropriation of \$3.2 billion, a net decrease of \$53.04 million or 2 percent from the SFY 2012-13 level.

Continuation of the Close to Home Initiative

The Executive Budget provides \$27.65 million to expand the Close to Home initiative for youths residing in limited-secure facilities in the New York City area. This appropriation facilitates the second phase of this initiative, which directs that youths originating from New York City and residing in limited secure facilities outside of New York City, be transferred to such facilities closer to their home. A plan for this transfer phase will be submitted after April 1, 2013, and youth are expected to transfer during SFY 2013-14.

Child Care Subsidies

The Executive Budget decreases General Fund appropriation for child care subsidies by \$70.69 million, but provides an increase from Temporary Assistance for Needy Families of the same amount. Overall, child care subsidies would be funded at \$842.83 million, a decrease of \$5.33 million from SFY 2012-13. Additionally, the Child Care Unionization initiative, which was first appropriated at \$3.74 million in SFY 2012-13 would be eliminated, consistent with negotiated agreements.

Youth Programs

The Executive proposes to combine the Youth Development Delinquency Program and the Special Delinquency Prevention Program (YDDP/SDPP) into the Youth Development Program. The Youth Development Program would be funded at \$14.12 million, a decrease of \$1.29 million compared to the combined funding for YDDP/SDPP in SFY 2012-13. The funding would be allocated to counties based on specific risk factors and youth population.

Community-Based Initiatives

The Executive Budget eliminates \$5.41 million for various community-based initiatives including: Community Reinvestment (\$1.75 million); Safe harbor (\$1.5 million); Caseload Reduction (\$760,000); Settlement Houses (\$450,000); Boys and Girls Clubs (\$750,000); and CASES (\$200,000). Additionally, the Executive provides \$2.36 million for the Runaway and Homeless Youth program, a reduction of \$210,000.

Foster Care Block Grant Increase

The Executive increases appropriations by \$1.04 million for the Foster Care Block Grant in order to adequately fund the Close to Home initiative for youth residing in juvenile justice facilities throughout the state.

Capital Projects Appropriations

(A. 3004)

The Executive Budget proposes a Capital Projects appropriation of \$37.68 million, which maintains the SFY 2012-13 funding level.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- consolidate the Youth Delinquency and Development Program (YDDP) and the Special Delinquency and Development Program (SDDP) into a single Youth Development Program; and
- expand the non-secure phase of the Close to Home initiative to the rest of the state. It would also notwithstanding the 12-month notification requirement and authorizes OCFS to close facilities and make significant staff or service reductions upon 60-day notification to the Legislature.

OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	452,193,000	448,634,000	(3,559,000)	-0.8%
AID TO LOCALITIES	5,280,012,000	5,281,014,000	1,002,000	0.02%
Total for AGENCY SUMMARY:	5,732,205,000	5,729,648,000	(2,557,000)	-0.04%

Agency Mission

The Office of Temporary and Disability Assistance (OTDA), working with the Office of Children and Family Services and other agencies, helps needy children and families to achieve economic self-sufficiency. OTDA administers public assistance programs, including Family Assistance, Safety Net Assistance, Supplemental Security Income, the Federal Food Stamp Program, the Home Energy Assistance Program, child support enforcement and services, refugee assistance programs, and homeless shelter and service programs. OTDA also serves as the host agency for the administration of the federal Temporary Assistance to Needy Families (TANF) block grant, which provides funding for public assistance benefits, as well as for programs and services designed to assist needy children and families as they transition to self-support.

Budget Summary

The Executive proposes All Funds appropriations of \$5.73 billion, a net decrease of \$2.56 million from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 1,890 full-time equivalent (FTE) positions, an increase of 30 positions from the SFY 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes a State Operation appropriation of \$448.63 million, a decrease of \$3.56 million or 1 percent from the SFY 2012-13 level.

Agency Operations

The Executive eliminates \$1 million for the Quick Copy Center account, which funds printing operations within OTDA. The funding, along with two FTE positions that served those operations, would be consolidated within the Department of Taxation and Finance. The Executive also proposes a reduction of \$2.6 million related to excess federal appropriations for the Food Stamp Employment and Training Program.

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	337	323	(14)
All Other Funds:	1,523	1,567	44
TOTAL:	1,860	1,890	30

Aid to Localities Appropriations (A. 3003)

The Executive proposes Aid to Localities appropriations of \$5.28 billion, a net increase of \$1 million over the SFY 2012-13 level.

Public Assistance Benefits

The Executive Budget estimates a public assistance caseload of 554,011 recipients for SFY 2013-14, a decrease of 2,177 recipients from SFY 2012-13. General Fund support of the public assistance grant is requested in the amount of \$520 million, a decrease of \$49 million from the SFY 2012-13 level. The Executive estimates federal TANF support in the amount of \$1.26 billion, a decrease of \$32.88 million from the SFY 2012-13 level.

TANF Initiatives

The Executive proposes an appropriation of \$1.36 billion for TANF initiatives, an increase of \$79.66 million over SFY 2012-13. The increase in funding available for TANF initiatives is attributable to a reduction in the amount of the federal TANF block grant being used for benefits, which is due to the estimated decrease in public assistance caseload. The Executive eliminates \$18.03 million in TANF initiatives that were enacted in SFY 2012-13.

TANF Initiatives (\$ in thousands)			
CATEGORY/ITEM	SFY 2012-13 Enacted	SFY 2013-14 Executive	Change
FLEXIBLE FUND FOR FAMILY SERVICES	964,000	964,000	-
CHILD CARE SUBSIDIES	324,276	394,967	70,691
TANF INITIATIVES:			
ACCESS - WELFARE TO CAREERS	800	-	(800)
ADVANTAGE SCHOOLS	500	-	(500)
ATTAIN	3,000	-	(3,000)
BRIDGE	102	-	(102)
CAREER PATHWAYS	750	-	(750)
CARETAKER RELATIVE/KINSHIP	51	-	(51)
CENTRO OF ONEIDA	25	-	(25)
COMM. SOLUTIONS TRANSPORTATION	112	-	(112)
DISABILITY ADVOCACY PROGRAM (DAP)	250	-	(250)
DISPLACED HOMEMAKERS	546	-	(546)
EDUCATIONAL RESOURCES	250	-	(250)
EMERGENCY HOMELESS NEEDS	500	-	(500)
FACILITATED ENROLLMENT	1,265	-	(1,265)
FATHERHOOD INITIATIVE	200	-	(200)
FOOD BANKS (NYS)	-	2,000	2,000
FOOD PANTRY INITIATIVE	250	-	(250)
HOMELESS INTERVENTION (SHIP)	1,500	-	(1,500)
NON-RESIDENTIAL DV	1,210	-	(1,210)
NURSE-FAMILY PARTNERSHIP	2,000	-	(2,000)
PREVENTIVE SERVICES INITIATIVE	610	-	(610)
REFUGEE RESETTLEMENT PROGRAM	102	-	(102)
ROCHESTER-GENNESSEE REGIONAL TA	82	-	(82)
SETTLEMENT HOUSES	1,000	-	(1,000)
SUMMER YOUTH EMPLOYMENT	-	25,000	25,000
SUNY/CUNY CHILD CARE	334	-	(334)
SUPPORTIVE HOUSING FOR FAMILIES (SHFYA)	1,500	-	(1,500)
WAGE SUBSIDY PROGRAM	950	-	(950)
WHEELS FOR WORK	144	-	(144)
SUBTOTAL OF TANF INITIATIVES	18,033	27,000	8,967
TANF FUNDING TOTAL	1,306,309	1,385,967	79,658

Supplemental Nutrition Assistance Program (SNAP) Increase

The Executive Budget proposes appropriations of \$400 million for statewide SNAP funds, an increase of \$25 million from the SFY 2012-13 level. This increase reflects an increase in federal funding linked to a projected increase in caseload.

Social Security Income (SSI)

The Executive Budget proposes an appropriation of \$784.49 million, or an increase of \$11.59 million over the SFY 2012-13 level, due to an estimated increase in SSI recipients and higher administrative fees that are charged by the federal government for its administration of the program.

Refugee and Homeless Housing Programs Increase

The Executive Budget proposes \$26 million for the Refugee Resettlement Program, an increase of \$1 million over the 2012-13 level. The Homeless Housing Program is appropriated at \$9.5 million, an increase of \$2 million over the SFY 2012-13 level. These additional appropriations will fund emergency shelter grants.

Homelessness and Housing Programs

The Executive Budget proposes an appropriation of \$28.68 million, a decrease of \$1.6 million from the SFY 2012-13 level, for the Solutions to End Homelessness Program, New York State Supportive Housing Program, and Operational Support for AIDS Housing initiatives.

Human Services Initiatives

The Executive Budget proposes the elimination of appropriations for the Educational Resources program, which was appropriated \$250,000 in SFY 2012-13. An appropriation of \$2.38 million is provided for the Disability Advocacy Program, a \$250,000 decrease from the SFY 2012-13 level.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- authorize the 2014 Federal Cost of Living Adjustment increase for individuals receiving SSI, who reside in various living arrangements, in varied increments of \$2 to \$18; and
- transfer the administration of Homeless Housing and Assistance Program from the Office of Temporary and Disability Assistance to the Division of Housing and Community Renewal.

NEW YORK STATE HIGHER EDUCATION SERVICES CORPORATION

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	91,751,000	87,804,000	(3,947,000)	-4.3%
AID TO LOCALITIES	1,025,757,000	1,046,018,000	20,261,000	2.0%
Total for AGENCY SUMMARY:	1,117,508,000	1,133,822,000	16,314,000	1.5%

Agency Mission

The Higher Education Services Corporation (HESC) was established in 1974 and administers the state's Tuition Assistance Program (TAP), the federal Family Education Loan Program, and other state and federal aid programs.

Budget Summary

The Executive proposes an All Funds appropriation of \$1.13 billion which is an increase of \$16.31 million or 1.5 percent, over the SFY 2012-13 level. The Executive recommends funding support of 447 full-time equivalent (FTE) positions, a decrease of 13 positions.

State Operations Appropriations

(A. 3000)

The Executive proposes a State Operations appropriation of \$87.80 million, a decrease of \$3.95 million or 4.3 percent from the SFY 2012-13 level. This decrease reflects a \$3.77 million reduction in administrative costs and a reduction of \$181,000 in federal funding for the College Access Challenge Grant Program.

Aid to Localities Appropriations

(A. 3003)

The Executive proposes an Aid to Localities appropriation of \$1.04 billion, an increase of \$20.26 million or 2 percent from the SFY 2012-13 level.

Tuition Assistance Program

The Executive Budget appropriates the Tuition Assistance Program (TAP) at \$950.42 million, an increase of \$17.19 million from State Fiscal Year (SFY) 2012-13 caused by increased payments due to tuition increases at State University of New York and City University of New York.

Other Scholarships and Awards

The Executive Budget maintains SFY 2012-13 funding levels for the Aid to Part-Time Study Program at \$14.35 million; the Patricia K. McGee Nursing Faculty Scholarship and Nursing Faculty Loan Forgiveness programs at \$3.93 million; and the Licensed Social Worker Loan Forgiveness Program at \$978,000. The Executive Budget reduces funding for scholarships administered by HESC by \$1.54 million from \$43.88 million in SFY 2012-13 to \$42.34 million in SFY 2013-14.

DIVISION OF HOUSING AND COMMUNITY RENEWAL

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	87,963,000	93,748,000	5,785,000	6.6%
AID TO LOCALITIES	145,845,000	89,427,000	(56,418,000)	-38.7%
CAPITAL PROJECTS	104,200,000	104,200,000	0	0.0%
Total for AGENCY SUMMARY:	338,008,000	287,375,000	(50,633,000)	-15.0%

Agency Mission

The Division of Housing and Community Renewal (DHCR) supports community efforts to preserve and expand affordable housing, home ownership and economic opportunities. In addition, DHCR provides construction and rehabilitation loans and grants; administers rent control, rent stabilization and rent subsidy programs; and supervises the management of state-assisted housing stock.

Budget Summary

The Executive proposes an All Funds appropriation of \$287.38 million, a decrease of \$50.63 million or 15 percent from the SFY 2012-13 funding level. The Executive recommends funding support for 692 full-time equivalent (FTE) positions, which is an increase of four FTEs from the SFY 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$93.75 million, an increase of \$5.79 million or 6.6 percent over the SFY 2012-13 level.

Tenant Protection Unit

The Executive continues funding for the Tenant Protection Unit at \$5.79 million. This unit was last funded through agency reappropriations.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	688	692	4
TOTAL:	688	692	4

Aid to Localities Appropriations
(A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$89.43 million, a decrease of \$52.42 million or 38.7 percent, below the SFY 2012-13 level.

Community Preservation Program

In SFY 2012-13, Neighborhood Preservation Programs (NPP) and Rural Preservation Programs (RPP) received a combined \$14.27 million, which is eliminated from the DHCR budget in SFY 2013-14 budget request. Instead, the Executive proposes the consolidation of NPP and RPP into a single Community Preservation Program (CPP). The CPP would be funded with \$12.02 million from the reserves held in the Mortgage Insurance Fund (MIF), instead of General Fund resources.

Rural Rental Assistance Program

In SFY 2012-13, the Rural Rental Assistance Program (RRAP) received \$19.6 million, which is eliminated from the DHCR budget in SFY 2013-14. Instead, the Executive proposes to fund RRAP with MIF reserves at \$20.4 million, an increase of \$800,000.

Program Eliminations

The Executive proposes the elimination of the Main Street Program (\$3 million), Urban Initiatives (\$4 million), Rural Area Revitalization (\$4 million), and the NYCHA Tenant Patrol Program (\$740,000) in SFY 2013-14.

Low Income Weatherization Program

The Executive proposes funding for the Low Income Weatherization Program of \$32.50 million, a decrease of \$10 million from the SFY 2012-13 level to align state appropriations with federal authority.

Capital Projects Appropriations

(A. 3004)

The Executive proposes an All Funds Capital Projects appropriation of \$104.2 million, the same funding level as adjusted appropriations for SFY 2012-13.

Homeless Housing Development Program

The Executive proposes to transfer the Homeless Housing Assistance Program (HHAP) from the Office of Temporary and Disability Assistance (OTDA) to DHCR. The Executive provides \$30 million for HHAP in SFY 2013-14, representing no change from the SFY 2012-13 level.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- Eliminate the Neighborhood and Rural Preservation Programs and create the Community Preservation Program, which would fill the role of both programs;
- Expand the type of and nature of investments that can be made by the State of New York Mortgage Agency and the Housing Finance Agency;
- Transfer the administration of the Homeless Housing and Assistance Program from the Office of Temporary and Disability Assistance to the Division of Housing and Community Renewal;
- Authorize the board of directors of the State of New York Mortgage Agency (SONYMA) to transfer up to \$100 million to the General Fund; and
- Authorize the board of directors of SONYMA to reimburse the Housing Trust Fund Corporation for \$12.02 million for the cost of the Community Preservation Program, \$20.4 million for the Rural Rental Assistance Program, and \$17.85 million for rehabilitation of Mitchell-Lama Housing.

DIVISION OF HUMAN RIGHTS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	18,010,000	18,010,000	0	0.0%
Total for AGENCY SUMMARY:	18,010,000	18,010,000	0	0.0%

Agency Mission

The primary mission of the Division of Human Rights (Division) is to enforce the New York State Human Rights Law and to protect the civil rights of New Yorkers. The Division prosecutes unlawful discriminatory practices; investigates and resolves individual complaints of illegal discrimination; advances policies and legislation to expand and to protect civil rights; and promotes education and outreach to raise human rights awareness. The Division operates from its main office in New York City, as well as nine regional offices and two satellite offices across the state.

Budget Summary

The Executive proposes an All Funds Appropriation of \$18.01 million, which maintains funding levels from State Fiscal Year (SFY) 2012-13. The Executive recommends the funding support of 164 full-time equivalent (FTE) positions, a decrease of four positions.

State Operations Appropriations

(A. 3000)

The Executive proposes a State Operations appropriation of \$18.01 million, which maintains funding levels from SFY 2012-13.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	128	124	(4)
All Other Funds:	40	40	0
TOTAL:	168	164	(4)

DEPARTMENT OF LABOR

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	751,425,000	741,881,000	(9,544,000)	-1.3%
AID TO LOCALITIES	6,729,076,000	5,613,278,000	(1,115,798,000)	-16.6%
Total for AGENCY SUMMARY:	7,480,501,000	6,355,159,000	(1,125,342,000)	-15.0%

Agency Mission

The Department of Labor (DOL) administers New York's Unemployment Insurance system, labor exchange system and state worker protection programs, including enforcement of safety and health regulations in the public sector; state labor laws and federal statutes.

DOL is a primary advocate for job creation and economic growth through workforce development and is the state's principal source of labor market information, offering a variety of services designed to help businesses to find workers and people to find jobs.

Budget Summary

(A. 3000)

The Executive recommends All Funds appropriations of \$6.36 billion, a decrease of \$1.13 billion or 15 percent below the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 3,612 full-time equivalent (FTE) positions, a decrease of 9 FTEs from SFY 2012-13 levels.

State Operations Appropriations

The Executive recommends All Funds appropriations of \$741.88 million, a decrease of \$9.54 million or 1.3 percent from the SFY 2012-13 level.

State Contact Center

The Executive proposes a new appropriation of \$9.36 million to host a single contact center for the Division of Veterans' Affairs, Workers Compensation Board, State Insurance Fund, and Empire State Development.

NY State Data Center

The Executive proposal includes a new appropriation of \$285,000 to support the transfer of the State Data Center from the Department of Economic Development to DOL. The State Data Center is the state's primary source for economic and demographic data and is used to track trends in the state.

Unemployment Insurance Administration

The Executive budget proposes \$471.94 million for Unemployment Insurance administration, a net increase of \$25.73 million due to increases in federal funding.

Workforce Investment Act Administration

The Executive Budget recommends \$58.98 million for the state to administer the provisions of Workforce Investment Act, a net increase of \$13.93 million resulting from increased federal funding.

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	3,621	3,612	(9)
TOTAL:	3,621	3,612	(9)

Aid to Localities Appropriations

(A. 3003)

The Executive recommends All Funds appropriations of \$5.61 billion, a decrease of \$1.12 billion or 16.6 percent over the SFY 2012-13 level.

Unemployment Insurance

The Executive Budget proposes \$5.4 billion for Unemployment Insurance benefits, a \$1.1 billion decrease due to an anticipated decline in regular and extended unemployment benefits. Available appropriations of \$1.65 billion would support extended benefits recently authorized by Congress that will be available through December 2013.

Training and Employment:

The Executive decreases appropriations by \$4.5 million, reflecting the elimination of various labor programs funded in SFY 2012-13, including support for the New York Committee on Occupational Safety and Health.

Workforce Investment Act

The Executive Budget recommends \$171.36 million in Workforce Investment Act funding, an \$11.35 million decrease due to a reduction in federal funding.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- implement Unemployment Insurance Reform. Specifically, the Executive would modify the unemployment insurance program as it relates to: minimum and maximum weekly benefit for claimants; increasing the taxable wage base for employers; and amending various provisions of law to improve the solvency of the Unemployment Trust Fund;
- raise the statutory minimum wage to \$8.75 per hour effective on July 1, 2013; and
- authorize the transfer of the State Data Center from the Department of Economic Development to the Department of Labor.

STATE OF NEW YORK MORTGAGE AGENCY

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	76,800,000	76,800,000	0	0.0%
AID TO LOCALITIES	91,665,000	97,050,000	5,385,000	5.9%
Total for AGENCY SUMMARY:	168,465,000	173,850,000	5,385,000	3.2%

Agency Mission

The State of New York Mortgage Agency is a public benefit corporation created to increase the affordability of home ownership for low to moderate income residents of New York State. This is accomplished by the SONYMA's issuance of taxable and tax exempt bonds and the use of proceeds to purchase low-interest rate mortgage loans. SONYMA receives no direct operating support from the state.

Budget Summary

The Executive proposes All Funds appropriations of \$173.85 million, an increase of \$5.38 million or 3.2 percent over the State Fiscal Year (SFY) 2012-13 level. The SONYMA enabling statute requires the state to guarantee payments made by SONYMA, although no cash disbursements are projected to be made from this appropriation. All of SONYMA's programs and operations are supported by mortgage income, application fees, insurance premiums, and investment proceeds.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$76.8 million, the same funding level as SFY 2012-13.

Aid to Localities Appropriations

(A. 3003)

The Executive proposes All Funds Aid to Localities appropriations of \$97.05 million, an increase of \$5.39 million or 5.9 percent over the SFY 2012-13 level to accommodate statutorily required guarantee payments.

STATE UNIVERSITY OF NEW YORK

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	8,683,589,400	8,844,731,200	161,141,800	1.9%
AID TO LOCALITIES	461,218,900	452,115,400	(9,103,500)	-2.0%
CAPITAL PROJECTS	1,106,067,000	256,597,000	(849,470,000)	-76.8%
Total for AGENCY SUMMARY:	10,250,875,300	9,553,443,600	(697,431,700)	-6.8%

Agency Mission

The State University of New York (SUNY) is the largest public university system in the nation. It consists of 64 campuses including four university centers, 13 university colleges, two independent health centers, four specialized colleges of technology, five statutory colleges, six colleges of technology and 30 community colleges.

Budget Summary

The Executive proposes All Funds appropriations of \$9.55 billion which is a decrease of \$697.43 million or 6.8 percent from State Fiscal Year (SFY) 2012-13 levels.

State Operations Appropriations

(A. 3000)

The Executive proposes a State Operations appropriation of \$8.84 billion, an increase of \$161.14 million or 1.9 percent over the State Fiscal Year (SFY) 2012-13 level.

SUNY State Operated Colleges

The Executive provides \$969.05 million in funding for SUNY State Operated Colleges, a maintenance of General Fund support from SFY 2012-13. The Executive provides \$1.57 billion in tuition funding, an increase of \$106 million from SFY 2012-13, reflecting a scheduled increase in tuition. The Executive provides \$21.08 million for the Educational Opportunity Program, the same as SFY 2012-13. The Executive also provides \$51.04 million for Educational Opportunity Centers (EOC), an increase of

\$56,300 from SFY 2012-13. The EOC appropriation includes a \$2 million allocation for ATTAIN labs. Funding for the New York Network was transferred to the Office of General Services.

SUNY Hospitals

The Executive proposal includes \$60 million for SUNY Health Science Centers, a reduction of \$27.8 million from SFY 2012-13. In addition, the Executive eliminates \$2 million for SUNY hospitals that was appropriated in the Department of Health in SFY 2012-13.

Aid to Localities Appropriations

(A. 3003)

The Executive proposes Aid to Localities appropriations of \$452.12 million, a decrease of \$9.1 million or 2.0 percent below the 2012-13 level.

Community College Base Aid

The Executive proposal would maintain Community College Base Aid at \$2,272 per full-time equivalent (FTE) student, maintaining the SFY 2012-13 levels of support. The Executive Budget includes \$431.1 million to support base aid, which includes a new \$3 million for performance-based funding grant program.

Next Generation College Linkage Program

The Executive proposal would link base aid funding to new requirements for community college workforce and vocational programs. Community College programs would be required to partner with employers or prove a regional workforce need in order to remain eligible for state base aid funding.

Other Community College Programs

The Executive reduces funding for SUNY Child Care by \$653,000, to provide \$1 million in SFY 2013-14. Funding for Rental Aid (\$11.58 million) and for Workforce Development (\$1.88 million) is maintained at SFY 2012-13 levels. Funding for High Needs Nursing (\$1.69 million) and for Colleges of Low Enrollment (\$940,000) are also maintained at SFY 2012-13 levels.

Capital Projects Appropriations

(A. 3004)

The Executive proposes a Capital Projects appropriation of \$256.6 million, a decrease of \$849.47 million or 76.8 percent from the SFY 2012-13 level, marking the end of the previous 5-year capital plan, as well as the inclusion of certain one-time projects in the Enacted 2012-13 budget. The Executive proposes the following:

- an \$88 million appropriation for the University of Albany to construct an Emerging Technology and Entrepreneurial Complex in accordance with NYSUNY 2020. The debt service for this project will be paid for by private sources;
- an appropriation for SUNY's Residence Hall Rehabilitation Fund of \$50 million;
- \$38.5 million to provide for the state's 50 percent share of projects at SUNY Community Colleges;
- a \$30.0 million appropriation for critical maintenance at Stony Brook in conjunction with NYSUNY 2020;
- capital funding for the SUNY Construction Fund of \$25.1 million; and
- a \$25 million appropriation to allow Binghamton University to receive funding from external sources;

An additional \$55 million is provided under appropriations made for the Empire State Development Corporation for a NYSUNY 2020 Challenge Grant Program.

Five Year Capital Plan

The Executive's financial plan includes a five year capital plan for SUNY of \$1.3 billion, which would support the following:

- \$413 million for State Operated College Projects;
- \$275 million for the NYSUNY 2020 Challenge Grant Program;
- \$250 million for the Residence Hall Rehabilitation Fund;
- \$198.5 million for Community College Critical Maintenance Projects;
- \$134.8 million for the SUNY Construction Fund; and
- \$25 million for NYSUNY 2020 from External Sources.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- create the Next Generation New York Job Linkage Program, which would provide competitive grants to community colleges based on graduation and workforce outcomes;
- require certain community college programs to partner with employers or prove a regional workforce need in order to receive state funding; and
- establish a new financing structure for SUNY Residence Halls.

OFFICE OF THE WELFARE INSPECTOR GENERAL

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	1,516,000	0	(1,516,000)	-100.0%
Total for AGENCY SUMMARY:	1,516,000	0	(1,516,000)	-100.0%

Agency Mission

The Office of the Welfare Inspector General (OWIG) is responsible for preventing, investigating and prosecuting public assistance fraud and abuse and illegal acts involving social services programs at both the state and local levels. The Office responds to allegations that welfare recipients are receiving benefits for which they are not eligible and, where appropriate, prosecutes such behavior.

Budget Summary

The Executive recommendation proposes to merge OWIG into the Office of the State Inspector General (OIG). The Executive Budget would transfer \$1.52 million in appropriation authority from OWIG to OIG. To complete the merger, three full-time equivalent (FTE) positions will transfer to OIG from OWIG, and an additional four FTE positions will be added to OIG for the performance of services associated with the prior responsibilities of OWIG.

State Operations Appropriations

(A. 3000)

The Executive Budget proposes to eliminate State Operations appropriations within OWIG, a decrease of \$1.52 million from the State Fiscal Year 2012-13 level, which reflects the merger of OWIG into OIG.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	3	0	(3)
All Other Funds:	1	0	(1)
TOTAL:	4	0	(4)

Article VII Proposals

The Executive recommends Article VII legislation that would merge the Office of the Welfare Inspector General (OWIG) into the Office of the State Inspector (OIG). This part would also repeal the statutory authority for the OWIG and merge the functions of the OWIG into the OIG. It would expand the authority of the OIG to allow it to investigate certain activities of local social services districts, including recipients and “contractees.” It also would provide that no person may prevent, seek to prevent, interfere with, obstruct or otherwise hinder any investigation of the OIG.

MISCELLANEOUS: EDUCATION, LABOR & FAMILY ASSISTANCE

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	31,633,500	31,633,500	0	0.0%
AID TO LOCALITIES	350,000	100,350,000	100,000,000	28571.4%
Total for AGENCY SUMMARY:	31,983,500	131,983,500	100,000,000	312.7%

State Operations Appropriations

Aid to Localities Appropriations

(A. 3003)

Pay for Success

The Executive proposes \$100 million over the next five years for Pay for Success contracts to assist in creating outcome-based programs for human services initiatives focusing on the areas of health, education, juvenile justice, and public safety. Such initiatives would require service providers to meet performance measures and to satisfy savings requirements in order to secure funding from the state for their services. Results would be monitored by independent parties to ensure the accuracy of providers' reports and outcomes and no state funds will be released until positive outcomes, as agreed upon by contracts, are ensured.